TAL OF INFORMATION DISCLOSURE STATEMENT Docket No. 112713-486(ALT5604D N4) (Under 37 CFR 1.97(b) or 1.97(c)) Of: Connell, M., et al. Examiner Filing Date Group Art Unit Serial No. Unknown October 27, 2003 Unknown 10/695,526 Title: METHOD AND APPARATUS FOR KIDNEY DIALYSIS Address to: **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450 37 CFR 1.97(b) The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114. 37 CFR 1.97(c) The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of: ☐ the statement specified in 37 CFR 1.97(e); OR ☐ the fee set forth in 37 CFR 1.17(p).

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AL OF INFORMATION DISCLOSURE STATEMENT Docket No. 112713-486 (ALT5604D N4) (Under 37 CFR 1.97(b) or 1.97(c)) MAR 1 2 2004 Connell, M., et al. Examiner Group Art Unit Filing Date Serial No. Unknown October 27, 2003 Unknown 10/695,526 METHOD AND APPARATUS FOR KIDNEY DIALYSIS **Payment of Fee** (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) A check in the amount of is attached. The Director is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. Charge the amount of X Credit any overpayment. Charge any additional fee required. Certificate of Mailing by First Class Mail Certificate of Transmission by Facsimile* I certify that this document and fee is being deposited I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States with the U.S. Postal Service on March 9, 2004 Patent and Trademark Office (Fi as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. (Date) Signature of Person Mailing Correspondence Signature Renee Street Typed or Printed Name of Person Signing Certificate Typed or Printed Name of Person Mailing Certificate *This certificate may only be used if paying by deposit account. Dated: March 9, 2004 Signature Robert M. Barrett (Reg. No. 30,142) Bell, Boyd & Lloyd LLC P.O. Box 1135 Chicago, Illinois 60690-1135 Tel: 312/807-4204 Fax: 312/827-8185 cc:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

pplicant: Connell, M., et al.

Appl. No.: 10/695,526 Conf. No.: Unknown

Filed: October 27, 2003

Title: METHOD AND APPARATUS FOR KIDNEY DIALYSIS

Art Unit: Unknown Examiner: Unknown

Docket No.: 112713-486 (ALT 5604D N4)

Mail Stop Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which can be found in the parent, application no. 09/711,420, filed on November 13, 2000, be made during the course of examination of the above-identified application for United States patent, except for the following additional documents: GB 2 110 564 A, claims of pending U.S. Patent Applications 10/461,322, 10/461,237, 10/461,272.

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4,756,706	7/12/88	Kerns et al.
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4,804,950	2/14/89	Moon et al.
4,828,543	5/9/89	Weiss et al.
4,834,888	5/30/89	Polaschegg
4,873,623	10/10/89	Lane et al.
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0 186 973	7/9/86	Europe
0 298 587	5/11/87	Europe
0 240 101	10/7/87	Europe
0 267 664	5/18/88	Europe
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0 311 709	4/19/89	Europe
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Einspruch gegen ein europäisches Patent (6 pages).

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Dialysis System A2008 C document published by Fresenius AG.

Perrone, B., et al., "Evidence of Fluid Shifts during Dialysis Sessions with Sodium and Ultrafiltration Profiles," Improvements in Dialysis Therapy, Contrib Nephrology, vol. 74, 1989, (pp. 191-199).

Erben, J. et al., "Computer-Supported Risk Analysis of Haemodialysis Patients in Combination with Possible Therapies by the CMS 08," Improvements in Dialysis Therapy, 1989, Vol. 74, page 165.

Stefoni, S. et al., "The CMS 08 Modulated Dialysis," Improvements in Dialysis Therapy, 1989, Vol. 74, page 221.

Deuber, H.J. et al., "Clinical Application of the Sodium Modelling Computation with CMS 08," Improvements in Dialysis Therapy, 1989, Vol. 74, page 159.

Eidesstattliche Versicherung (Solemn Declaration) for Raimond Walter dated January 2, 2001 (with translation).

Copy of photograph labeled Anlage 8.

Programme for European Renal Association XXIXth Congress, 5 pages.

Copy of photograph labeled Anlage 10.

Copy of photograph labeled Anlage 11.

Eidesstattliche Versicherung (Solemn Declaration) for Reiner Spickermann dated December 27, 2000 (with translation).

Claims of Pending U.S. Patent Application No. 10/461,322

Claims of Pending U.S. Patent Application No. 10/461,237

Claims of Pending U.S. Patent Application No. 10/461,272

Foreign FR 2 513 884 and WO 90/14850 listed above are not in the English language. GB 2 110 564 A corresponding to FR 2 513 884 is included in this submission. WO 90/14850 has an English abstract within the reference.

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

PTO	Form	1449

	Atty Docket No. 112713-486	Application No. 10/695,526
	Applicant Connell, M., et al.	
ı		Group
ı	October 27, 2003	Unknown

Examiner's Initials	Document Number	Publication Date	TENT DOCUMENT Inventor	Class	Subclass	Filing Date If Appropriate
	3,563,381	2/16/71	Edelson et al.		<u> </u>	Арргорпак
	3,946,731	3/30/76	Lichtenstein	-		
	3,992,301	11/16/76	Shippey et al.	_		
	4,096,059	6/20/78	Pinkerton			
	4,098,274	7/4/78	Ebling et al.			
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	4,477,342	10/16/84	Allan et al.			
	4,508,622	4/2/85	Polaschegg et al.			
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	4,614,590	9/30/86	Rath et al.			<u> </u>
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	4,739,492	4/19/88	Cochran	******		
	4,747,950	5/31/88	Guinn			
	4,756,706	7/12/88	Kerns et al.			
	4,769,134	9/6/88	Allan et al.			
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Date Considered:

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	5,326,476	7/5/94	Grogan et al.			
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	5,486,286	1/23/96	Peterson et al.			
	5,744,027	4/28/98	Connell et al.			
	6,284,131	9/4/01	Hogard et al.			

		FOREIGN	PATENT DOCUME	NTS		•	
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	2 110 564 A	06/22/83	Europe				
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	0 186 973	7/9/86	Europe				
	0 298 587	5/11/87	Europe				
	0 240 101	10/7/87	Europe				
	0 267 664	5/18/88	Europe				
	2 205 669	12/14/88	United Kingdom				
	0 311 709	4/19/89	Europe				
	WO 90/14850	12/13/90	PCT				

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	Operator's Manual for SPS 550, Published by Baxter Healthcare Corp., 1987.
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Connell, M	., et al.
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